



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

THOMAS et al.

Serial No.: 10/817,616

Filed: April 2, 2004

Atty. File No.: 3791-30

For: "APPARATUS AND PROCESS FOR  
PREPARING SORBENTS FOR  
MERCURY CONTROL AT THE  
POINT OF USE"

) Group Art Unit: 1724

) Examiner:

SUBMISSION OF MISSING PARTS

"EXPRESS MAIL" MAILING LABEL NUMBER: EV539128514US  
DATE OF DEPOSIT: 8/10/04

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE  
UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX  
1450, ALEXANDRIA, VA 22313-1450.

TYPED OR PRINTED NAME: Amy S. Duarte

SIGNATURE: *Amy S. Duarte*

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts mailed June 24, 2004, in connection with the above-identified application, enclosed are a Declaration and Power of Attorney. A copy of the Notice to File Missing Parts is also enclosed. Also enclosed is a check in the amount of \$1,026.00, constituting payment of the filing fee in the amount of \$896.00 for a large entity, plus \$130.00 as payment of the surcharge. Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970.

Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

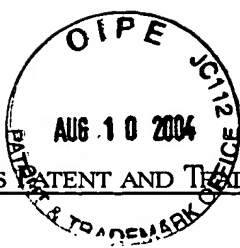
SHERIDAN ROSS P.C.

By:

*Douglas W. Swartz*

Douglas W. Swartz  
Registration No. 37,739  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: August 19, 2004



08/12/04

IFW#

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/817,616	04/02/2004	Robert Thomas	3791-30

CONFIRMATION NO. 2481

22442  
 SHERIDAN ROSS PC  
 1560 BROADWAY  
 SUITE 1200  
 DENVER, CO 80202

## FORMALITIES LETTER



\*OC000000013047759\*

Date Mailed: 06/24/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$126** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1026** for a Large Entity

08/13/2004 RAHRED1 00000063 10817616

- |   |            |           |
|---|------------|-----------|
| • \$770 Statutory basic filing fee.         | 01 FC:1001 | 770.00 OP |
| • \$130 Late oath or declaration Surcharge. | 02 FC:1051 | 130.00 OP |
|   | 03 FC:1202 | 126.00 OP |

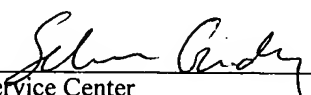
- Total additional claim fee(s) for this application is **\$126**

- **\$126** for 7 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE